

**Investor Complaints Data for Broking Arm**

**Data for month ended May- 2024**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the		Average Resolution time^ (in days)
						end of the month**		
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	0	NA
3	3 Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	0	NA
5	Grand Total	0	0	0	0	0	0	NA

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved	Pending**
1	2	3	4	5	6
1	Apr-23	0	0	0	0
2	May-23	0	0	0	0
3	Jun-23	0	0	0	0
4	Jul-23	0	0	0	0

5	Aug-23	0	0	0	0
6	Sep-23	0	0	0	0
7	Oct-23	0	0	0	0
8	Nov-23	0	0	0	0
9	Dec-23	0	0	0	0
10	Jan-24	0	0	0	0
11	Feb-24	0	0	0	0
12	Mar-24	0	0	0	0
13	Apr-24	0	0	0	0
14	May-24	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	20218-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0

<b>5</b>	<b>2021-22</b>	0	0	0	0
<b>6</b>	<b>2022-23</b>	0	0	0	0
<b>7</b>	<b>2023-24</b>	0	0	0	0
	<b>Grand Total</b>	0	0	0	0