## **Investor Complaints Data for Broking Arm**

## **Data for month ended May'2022**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	of the r	Pending for more than 3 months	Resolution time^ (in days)
1	2	3	4	5	6		7	8
1	Directly from Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	0	NA
5	Grand Total	0	0	0	0	0	0	NA

## Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	May-21	0	0	0	0
2	Jun-21	0	0	0	0
3	Jul-21	0	0	0	0
4	Aug-21	0	0	0	0

5	Sep-21	0	0	0	0
6	Oct-21	0	0	0	0
7	Nov-21	0	0	0	0
8	Dec-21	0	0	0	0
9	Jan-22	0	0	0	0
10	Feb-22	0	0	0	0
11	Mar-22	0	0	0	0
12	Apr-22	0	0	0	0
12	May-22	0	0	0	0
	Grand Total	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

SN	Year	Carried forward	Received during the		Pending at	
		from previous	year	during the	the end of the	
		year		year	year	
1	2017-18	0	0	0	0	
2	2018-19	0	0	0	0	
3	2019-20	0	0	0	0	
4	2020-21	0	0	0	0	
5	2021-22	0	0	0	0	
6	2022-23	0	0	0	0	
	Grand Total	0	0	0	0	

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.