

**Investor Complaints Data for Broking Arm**

**Data for month ended June'2022**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	0	NA
5	Grand Total	0	0	0	0	0	0	NA

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	May-21	0	0	0	0
2	Jun-21	0	0	0	0
3	Jul-21	0	0	0	0
4	Aug-21	0	0	0	0

5	Sep-21	0	0	0	0
6	Oct-21	0	0	0	0
7	Nov-21	0	0	0	0
8	Dec-21	0	0	0	0
9	Jan-22	0	0	0	0
10	Feb-22	0	0	0	0
11	Mar-22	0	0	0	0
12	Apr-22	0	0	0	0
13	May-22	0	0	0	0
14	Jun-22	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
	<b>Grand Total</b>	0	0	0	0